### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent of Isy Goldwasser et al. Patent No. 7,767,627 Issued August 3, 2010 Confirmation No. 2173

FOR THE COMBINATORIAL SYNTHESIS OF INORGANIC OR COMPOSITE MATERIALS

October 12, 2010

# REQUEST FOR EXPEDITED ISSUANCE OF CERTIFICATE OF CORRECTION UNDER 37 CFR 1.322

TO THE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE, SIR:

On studying the above-identified patent, the following error, apparently made by the Patent and Trademark Office, was found (the error is also noted on the attached form PTO/SB/44):

On the Front Cover of the Patent, Section 75, Inventors:

"Xian-Dong Sun, Fremont, CA (US)"

should read

-- Xiao-Dong Sun, Fremont, CA (US) --.

#### REMARKS

In accordance with 37 CFR 1.322, copies of the Patent Assignment Abstract highlighting the respective Assignment recorded on September 10, 2003, Declaration and Power of Attorney filed September 12, 2003 and the Notice of Allowance dated March 23, 2010, are attached.

We respectfully request that a certificate of correction be issued.

Respectfully submitted.

/Nicholas A Keppel/

Nicholas A. Keppel, Reg. No. 64,979 SENNIGER POWERS LLP 100 North Broadway, 17th Floor St. Louis, Missouri 63102 (314) 231-5400



### United States Patent and Trademark Office





### Assignments on the Web > Patent Query

### Patent Assignment Abstract of Title

NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

Total Assignments: 5

Patent #: 7767627 Issue Dt: 08/03/2010 Application #: 08847967

Filing Dt: 04/22/1997

Inventors: Peter G. Schultz, Xlao-Dong Xlang, Gabriel Briceno, Kal-An Wang, Xlao-Dong Sun et al

Title: THE COMBINATORIAL SYNTHESIS OF INORGANIC OR COMPOSITE MATERIALS

Assianment: 1

Reel/Frame: 008818/0839

Recorded: 11/26/1997

Pages: 4

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: GOLDWASSER, ISY

Exec Dt: 11/17/1997

Exec Dt: 11/14/1997

Assignee: SYMYX TECHNOLOGIES 3100 CENTRAL EXPRESSWAY

ROSS, DEBRA A.

SANTA CLARA, CALIFORNIA 95051

Correspondent: TOWNSEND AND TOWNSEND AND CREW LLP

EUGENIA GARRETT-WACKOWSKI

TWO EMBARCADERO CENTER, 8TH FLOOR SAN FRANCISCO, CALIFORNIA 94111-3834

Assignment: 2

Reel/Frame: 010216/0791

Recorded: 09/10/1999

Pages: 31

Conveyance: CHANGE OF NAME IN RECORDED ASSIGNMENTS Assignor: SYMYX TECHNOLOGIES

Exec Dt: 02/17/1999

Assignee: SYMYX TECHNOLOGIES, INC.

3100 CENTRAL EXPRESSWAY SANTA CLARA, CALIFORNIA 95051

Correspondent: SYMYX TECHNOLOGIES, INC.

RONALD A. KRASNOW LEGAL DEPARTMENT

3100 CENTRAL EXPRESSWAY SANTA CLARA, CA 95051

Assignment: 3

Reel/Frame: 013962/0168

Recorded: 09/10/2003

Pages: 6

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: BRICENO, GABRIEL

SUN, XIAO-DONG WANG, KAI-AN

Exec Dt: 09/19/2002 Exec Dt: 10/27/2002 Exec Dt: 04/18/2002 Exec Dt: 09/16/2002 Exec Dt: 03/31/2003

SCHULTZ, PETER G. XIANG, XIAO-DONG

Assignee: REGENTS OF THE UNIVERSITY OF CALIFORNIA, THE

1111 FRANKLIN STREET, 12TH FLOOR OAKLAND, CALIFORNIA 94607-5200

Correspondent: KING & SPALDING, LLP

MADELINE I. JOHNSTON, ESO.

45TH FLOOR, 191 PEACHTREE STREET, N.E.

ATLANTA, GA 30303

Assignment: 4

Reel/Frame: 018936/0255 Recorded: 02/26/2007

Conveyance: CONFIRMATORY LICENSE (SEE DOCUMENT FOR DETAILS).

Assignor: REGENTS OF THE UNIVERSITY OF CALIFORNIA, THE

Assignee: ENERGY, UNITED STATES DEPARTMENT OF

1000 INDEPENDENCE AVE., S.W.

WASHINGTON, DISTRICT OF COLUMBIA 20585

Correspondent: MARK P. DVORSCAK

U.S. DEPT. OF ENERGY, IPLD

9800 S CASS AVE. ARGONNE, IL 60439

Assignment: 5

Assignment. 5

Reel/Frame: 022939/0564 Recorded: 07/13/2009

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: SYMYX TECHNOLOGIES, INC.

Assignee: SYMYX SOLUTIONS, INC. 415 OAKMEAD PARKWAY

ATTN: LEGAL DEPT.

SUNNYVALE, CALIFORNIA 94085

Correspondent: SYMYX SOLUTIONS, INC.

415 OAKMEAD PARKWAY

LEGAL DEPARTMENT

SUNNYVALE, CA 94085

Search Results as of: 10/12/2010 03:19 PM

Pages: 2

Pages: 24

Exec Dt: 12/07/2006

Exec Dt: 07/01/2009

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350.

Wob Interface last modified: October 18, 2008 v.2.0.2

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# SUBSTITUTE DECLARATION FOR UTILITY PATENT APPLICATION

AS BELOW-NAMED INVENTORS, WE HERERY DECLARE THAT:

Our residences, pust office addresses, and citizenship are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention antitled: THE COMBINATORIAL SYNTHESIS OF NOVEL MATERIALS, the specification of which is attached hereto unless the following box is checked:

was filed on April 22, 1997 as United States Application Serial No. 08/847,967.

WE HERBLY STATE THAT WE HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ADOVE IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

We acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any funeign application(s) for pattent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one burnty other than the United States itstate below that was also including the burs, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed:

Application No.	President Commence State	Dote of Films	Priority Claimed?
Application No.	Country	1	□Yes □No
	l		

We hereby claim beacfit under 35 U.S.C. § 119(e) of any United States provisional application(e) listed below:

Ameliospion Serial No.	Filing Date
Application Senaratori	1

We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofur as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, Jacknowledge the duty to disclose information which is material to patentiability as defined in 37 C.F.R. § 1.50 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Ī	Application Serial	Filing Date	Status		
١	No:			□Pending	□Abandoned
١		OCHUNEL LU, LE	Patented		[]Abandoned
Į	08/438,043	May 8, 1995	T EST MONTHS		to and thus and

We hereby declare that all statements made herein of my own knowledge are true and then all statements made on information and heliof are believed to be true; and further that these statements were made with the knowledge that willful labs estatements and the like so made are punishable by fine or imprissment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent inspend thereon.

Date	Name: Isy COLDWASSEN Rasidsone: 680 Arustradero Road, #505, Palo Alto, California 94306 Citizanship: 700 United States of America Citizanship: 580 Arastradero Road, #505, Palo Alto, California 94306 Post Office Arthrop 580 Arastradero Road, #505, Palo Alto, California 94306
8/4/03 Date	Neije: Neije: Peridence: Port Office Address: P.O. Nox 237, Mountain Ranch, California 95246 Port Office Address: P.O. Nox 237, Mountain Ranch, California 95246
Date	Name: Peter G. SCHULEZ Rendfernez: ESSO La Jolia Runcho Road, La Jolia, California 92037 Cettarnativa: United States of America Poet Office Address: 1650 La Jolia Rancho Road, La Jolia, California 92037
Date	Name: Xiao-Joog XIANG Residence: 1036 McCauley Road, Danville, California 9426-1970 Citizmethy: United States of America Pust Office Address: 1036 McCauley Road, Danville, California 9426-1970
Date	Name: Gabriel BRUGIÑO Residonae: 1930 A Rerwood A Nermas, Balawan Park, California 91706 Ulticanship: Inited States of America Post Office Address. 11564 Reservood Avenus, Balawan Park, California 91706
Date	Name: Xiao-Long XIIN Raidomor: A2288 Jamie Circle, Prement, California 94535 Chizenship: People's expellel of Chia Post Office Address: 33288 Jamie Circle, Prement, California 94555

Docket No. 220032901321

Date Name: Kai-An WANG

Residence: 1082 West Hill Court Cupterino, California 95014
Citizenship: United States of America

Citizenship: United States of America
Post Office Address: 1082 West Hill Court Cupterino, California 95014

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We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of say foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States listed below and have also identified below, by clecking the box, any foreign application for patent or inventor's rectificate, or PCT international application having a filing date before that of the application on which priority is claimed:

	Country	Date of Filing	Priority C	laimed?
Application No.	(A)Mig)		□Yes	□No
1				

We hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date

or \$ 36.5(c) of my PCT International application designating the United States application(s), or \$3.5(c) of my PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner ovided by the first paragraph of \$1.5 \text{L} \text{S}\$ (1.2 facknowledge the duty to disclose information which is material to patentiability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filling date of this application.

Application Serial	Filing Date	Status	1	
08/327,513	October 18, 1994	MPatented.	□Pending	□Abandoned
08/438.043	May 8, 1995	<b>⊠</b> Patented	□Pending	□ Abandoned

We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisumment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date	Name:	Ly GOLD WASSER
200	Residence:	580 Apastradam Road, #505, Palo Alto, California 94306
	Citizenship:	United Status of America
	Post Office Add	tress: 580 Arastradero Road, #503, Palo Alto, Califiania 94306
Date	Name:	Debra A. ROSS
	Residence;	P.O. Box 6235, Amold, California 95223
	Citizenship:	United States of America
	Post Office Add	ress: P.O. Box 6235, Arnold, California 95223
Date	Name:	Peter G. SCHULTZ
	Residence:	1650 La Jolla Rancho Road, La Jolla, California 92037
	Citizenaltip:	United States of America
	Post Office Add	tress: 1¢50 La Jolla Rancho Road, La Julla, California 92037
6/16/03		
Date	Name:	Xiao-Dong XIANG
Date	Residences	1036 McCauley Road, Danville, California 94526-1970
	Citizenship:	United States of America
		tress: 1036 McCauley Road, Danville, California 94526-1970
Date	Name:	Gabriel BRICERO
	Residence	13504 Rexwood Avenue, Baldwin Park, California 91706
	Citizenship:	United States of America
	Post Office Add	tress: 13504 Rexwood Avenue, Baldwin Park, California 91706
Date	Name:	Xiao-Dong SUN
	Kanidence:	33288 Jamje Circle, Fremont, California 94555
	Citizenship:	People's Republic of China
		lross: 33288 Jamie Cirole, Fremont, California 94555
	Post Office Add	ress: 33288 Jamie Cirole, Fremont, California 94555

2

Name: Kai-An WANG
Date Residence: 1082 West Hill Court Cupertino, California 95014
Citizenship: United States of America
Post Office Address: 1082 West Hill Court Cupertino, California 95014

3

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We acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 155(b) of any for international fureign application(5) for patent or inventor's contribute, or § 355(a) of any PCT international application which designated at least one country other than the United States it listed below and have also identified below, by chocking the bux, any foreign application for patent or inventor's have also identified below, by chocking the bux, any foreign application for patent or inventor's which priority is claimed:

ch primity is classic-		
Application No.	Country Date of Filing	Priority Claimed?
	L	

We hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(e) listed below:

Application Serial No.	Filing Date	ı
		į

We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(s) of any PCT International application designating the United States, listed below and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of \$3.U.S.C.; § 112, Iacknowledge the duty to disclose information which is material to patentiability as defined in 37 C.F.R. § 156 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Received from < 404 572 5145 > at 9/11/03 5:29:40 PM [Eastern Daylight Time]

Application Serial	Filing Date	Status		
08/327,513	October 18, 1994	RelPatented	☐ Pending	[]Ahandoned
08/438,043	May 8, 1995	<b>⊠</b> Patented	□Pending	□Abandoned

We hereby declare that all statements made herein of my own knowledge are true and that all statements made in information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may leopardize the validity of the application or any patent issued thereon.

Date	Name: Residence: Citizenship: Post Office Addre	Isy GULLIWASSER. 380 Anstrudero Road, #505, Palo Alto, California 94306 United States of America 1881: 580 Anstruderu Road, #505, Palo Alto, California 94306
Date .	Name: Residence: Citizenship: Post Office Addr	Debra A. ROSS P.O. Box 6235, Arnold, California 95223 United States of America ess: P.O. Box 6235, Arnold, California 93723
Date	Name: Residence: Citizenship. Post Office Addr	Poter G. SCHULTZ 1650 La Julia Raucho Road, La Jolia, California 92037 United Statet of America ess: 1650 I a Julia Rancho Road, La Jolia, California 92037
Dato	Name: Residence: Citzenship: Post Office Add	Xieo-Dong XIANG 1036 McCauley Road, Danville, California 94526-1970 United States of America rests; 1036 McCauley Road, Danville, California 94526-1970
Date	Name: Residence: Caizonship: Post Office Add	Gabriel BRICEÑO 13:304 Rexwood Avenue, Baldwin Park, Cahfornia 91706 United States of America ress: 13:504 Rexwoul Avenue, Baldwin Park, California 91706
<u>5/16/03</u> . Dato	Name: Residence: Citizenship: Post Office Add	Xtan-Dong SUN 33288 Jamie Grete, Fremont, California 94555 People's Republic of Chins ress: 33268 Jamie Circle, Fremont, California 94555

Docker No. 220032001321

SEP 11 2003 17:36 FR KING AN SPALDING 404 572 5145 TO 7840#10416#1050! P.22

Name: Kal-An WANG
Date Regidence: 1082 West Hill Court Cupertino, California 95014
Clitzenship: United States of America
Port Office Address: 1082 West Hill Court Cupertino, California 95014

Docket No. 220032001321

## SUBSTITUTE DECLARATION FOR UTILITY PATENT APPLICATION

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We acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing	Priority C	laimed?
			□Yes	□No

We hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date

We hereby claim the benefit under 35 U.S.C.  $\S$  120 of any United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C.  $\S$  112, I acknowledge the duty to disclose information which is material to patentiability as defined in 37 C.P.R.  $\S$  1.56 which became available between the filling date of the prior application and the national or PCT International filling date of this application.

Application Serial No.	Filing Date	Status
08/327,513	October 18, 1994	■Patented □Pending □Abandoned
08/438,043	May 8, 1995	■Patented □Pending □Abandoned

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5/1/03

Name: Isy GOLDWASSER

Residence: 580 Arastradero Road, #505, Palo Alto, California 94306

Citizenship: United States of America

Post Office Address: 580 Arastradero Road, #505, Palo Alto, California 94306

Date

Name: Debra A. ROSS

Residence: P.O. Box 6235, Arnold, California 95223 Citizenship: United States of America

Post Office Address: P.O. Box 6235, Arnold, California 95223

Date

Date

Date

Name: Peter G. SCHULTZ

Residence: 1650 La Jolla Rancho Road, La Jolla, California 92037

Citizenship: United States of America
Post Office Address: 1650 La Jolla Rancho Road, La Jolla, California 92037

Xiao-Dong XIANG

Residence: 1036 McCauley Road, Danville, California 94526-1970
Citizenship: United States of America

Post Office Address: 1036 McCauley Road, Danville, California 94526-1970

Date Name: Gabriel BRICENO

Name:

Residence: 13504 Rexwood Avenue, Baldwin Park, California 91706

Citizenship: United States of America

Post Office Address: 13504 Rexwood Avenue, Baldwin Park, California 91706

Name: Xiao-Dong SUN Residence: 33288 Jamie Circ

Residence: 33288 Jamie Circle, Fremont, California 94555 Chizenship: People's Republic of China

Post Office Address: 33288 Jamie Circle, Fremont, California 94555

Name: Kni-An WANG
Date Residence: 1082 West Hill Court Cuportino, California 95014
Citizenship: United States of America
Post Office Address: 1082 West Hill Court Cuportino, California 95014

PATENT Docket No. 220032001321

## SUBSTITUTE DECLARATION FOR UTILITY PATENT APPLICATION

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We acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.36.

We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 355(b) of any foreign application(s) for putent or inventor's certificate, or § 365(a) of any PCT International application which designated at least une country other than the United States listed below and lave also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing	Printity (	Claimed?
Application			□Yes	□No

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We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(s) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior limited States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112,1 acknowledge the duty to disclose information which is material to patentability we defined in 37 C.F.R. § 1.56 which became available between the filling date of the prior application and the national or PCT international filing date of this application.

Application Serial	Filing Date	Status .
No.	October 18, 1994	⊠Patented □Pending □Abandoned
		Patented Pending Abandoned

We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine of imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeeparche: the validity of the application or any patent issued thereon.

	Name:	Isy GOLDWASSER
Dato	Residence:	580 Arasuadero Road, #505, Palo Alto, California 54500
	Post Office Add	United States of America Value of Value of America Value of V
Date	Name:	Debra A. ROSS
TWITE	Residence:	P.O. Box 6235, Arnold, California 95223
	Citizenship:	United States of America
	Post Office Add	ros: P.O. Box 6235, Amold, California 95223
410103	- 1	// <i>A</i> //
	Name:	Peter & SCHULTZ
Date	Residence:	1650 La Jolla Rencho Road, La Jolla, California 92037
	Post Office Ad	United States of Annaha Taranta United States of Annaha Road, La Jolla, California 92037
		·
Date	Nama:	Xiao-Dong XIANG 1036 McCaulry Road, Danvillo, California 94526-1970
	Residence:	
	Citizenship:	United States of Finance dress: 1036 McCauley Read, Danville, California 94526-1970
	103 044	
Date	Name:	Gabriel BRICENO
Date	Residence!	13504 Rexwood Avenue, Baldwin Park, California 91706
		United States of America
	Post Office Ac	United States of Avenue, Baldwin Park, California 91706 Idress: 13504 Rex wood Avenue, Baldwin Park, California 91706
		Xiao-Dong SUN
Detc	Name	35288 Jamie Circle, Framont, California 94555
	Residence: Citizenship:	Parala's Perahlic of (2002
		ddress: 33288 Jamie Circle, Fremnut, California 94555

٠,

.88

Kai-An WANG Name: Date

1082 West Hill Court Cupertino, California 95014 Residence:

Citizenship: United States of America

Post Office Address: 1082 West Hill Court Cupertino, California 95014

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			□Yes	□No

We hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date

We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or \$ 365(o) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of his application is not disclosed in the price United States of PCT International application in the manuare provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filling date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	Status
08/327,513	October 18, 1994	EPatented □Pending □Abandoned
08/438,043	May 8, 1995	■Patented □Pending □Abandoned

We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these una an statements made on information and benefit are benefit are benefit and the statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date	Name:	Isy GOLDWASSER
	Residence:	580 Arastradero Road, #505, Palo Alto, California 94306
	Citizenship:	United States of America
	Post Office Add	tress: 580 Arastradero Road, #505, Palo Alto, California 94306
Date	Name:	Debra A. ROSS
	Residence:	P.O. Box 6235, Arnold, California 95223
	Citizenship:	United States of America
	Post Office Add	Iress: P.O. Box 6235, Arnold, California 95223
Date	Name:	Peter G. SCHULTZ
	Residence:	1650 La Jolla Rancho Road, La Jolla, California 92037
	Citizenship:	United States of America
	Post Office Add	tress: 1650 La Jolla Rancho Road, La Jolla, California 92037
Date	Name:	Xiao-Dong XIANG
Date	Residence:	1036 McCauley Road, Danville, California 94526-1970
	Citizenship:	United States of America
		ress: 1036 McCauley Road, Danville, California 94526-1970
	Post Office Aud	ress: 1036 McCamey Road, Danville, Cambrida 94320-1970
4/30/200	3 17	Attaciones
Date	Name:	7) Gabriel BRICEÑO
Date	Residence:	13304 Rexwood Avenue, Baldwin Park, California 91706
	Citizenship:	United States of America ress: 13504 Rexwood Avenue, Baldwin Park, California 91706
	Citizenship:	United States of America
Date	Citizenship: Post Office Add	United States of America
Date	Citizenship: Post Office Add  Name: Residence:	United States of America ress: 13504 Rexwood Avenue, Baldwin Park, California 91706  Xiao-Dong SUN 33289 Jamie Circle, Fremont, California 94555
Date	Citizenship: 7 Post Office Add  Name: Residence: Citizenship:	United States of America ress: 13504 Rexwood Avenue, Baldwin Park, California 91706 Xiao-Dong SUN

Name:

Date

Kai-An WANG Residence:

1082 West Hill Court Cupertino, California 95014 United States of America

Citizenship:

Post Office Address: 1082 West Hill Court Cupertino, California 95014

## SUBSTITUTE DECLARATION FOR UTILITY PATENT APPLICATION

AS BELOW-NAMED INVENTORS, WE HEREBY DECLARE THAT:

Our residences, post office addresses, and citizenship are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: THE COMBINATORIAL SYNTHESIS OF NOVEL MATERIALS, the specification of which is attached hereto unless the following box is checked:

was filed on April 22, 1997 as United States Application Serial No. 08/847,967.

WE HEREBY STATE THAT WE HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

We acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R.  $\S$  1.56.

We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application No.	Country Date of Filing Priority Claims			
L			□Yes □N	0

We hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date

We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the application and the national or PCT International filing date of this application.

08/438,043

 ~

Application Script Status Filing Date No. ■Patented □Pending □Abandoned October 18, 1994 08/327,513 ElPatented DPending DAbandoned

We hereby declare that all statements made herein of my own knowledge are true and We nerely occure that at statements more interin of my own knowledge are frue and that all statements made on information and belief are believed to be true; and turther that these statements were unde with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Thie 18 of the Linted States Code and that state willful false statements may jeopardize the validity of the application or any patent issued thereon.

May 8, 1995

Date	Name: Residence: Citizenship:	Isy GOLDWASSER 580 Arastradero Rusul, #505, Palo Alto, California 94306 United States of America
	Pust Office Add	reas: 580 Arastradero Rosal, #505, Palo Alto, California 94306
Thate	Name: Residence: Citizonship: Post Office Add	Debra A. ROSS P.O. Box 6239, Arnold, Califfania 95223 United States of America tress. P.O. Box 6235, Arnold, California 95223
Date	Name: Residenso: Cirtzenship: Post Office Ade	Peter G. SCHULTZ 1650 Le Julia Rancho Road, La Jolla, California 92037 United States of America tress: 1650 Le Jolla Rancho Road, Le Jolle, California 92037
Date	Name: Residence: Citizanship: Post Office Ad	Xiao-Dong XIANG 1036 McCauley Road, Danville, California 94526-1970 United States of America dress: 1036 McCauley Road, Danville, California 94526-1970
Date	Name: Residence: Crizenship: Post Office Ad	Gabriel BRICENO 13304 Rexwood Avenue, Baldwin Park, California 91706 United States of America dress: 13504 Rexwood Avenue, Baldwin Park, California 91708
Date	Name: Residence: Citizenship: Post Office Ad	Xiao-Dong SUN 33283 Jamie Circle, Fremont, California 94555 People's Republic of China dress: 33288 Jamie Circle, Fremont, California 94555

4-18-2003

Date

Name: Residence:

1082 West Hill Court Cupertino, California 95014

United States of America

Citizenship: Post Office Address: 1082 West Hill Court Cupertino, California 95014

SEP 1 2 2003

## IN THE UNITED STATES PATENT AND TRADFMARK OFFICE

In the application of:

lay GOLDWASSER and Debra A. ROSS

Serial No.: 08/847,967 Filing Date: April 22, 1997

THE COMBINATORIAL SYNTHESIS OF NOVEL MATERIALS

Examiner: M. Baker Group Art Unit: 1627

STATEMENT BY KALAN WANG IN SUPPORT OF REQUEST TO CORRECT INVENTORSHIP PURSUANT TO 37 C.F.R. § 1,48(a)(2)

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

- I, Kai-An Wang, doclare as follows:
- I reside at 1082 West Hill Court Cupertino, California 95014;
- I am an inventor of the above-referenced patent application;
- The error in inventorship occurred without deceptive intention on my part.
- I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are panishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and

2

pa-721850

Serial No. 08/847,967 Ducket No. 220032001321



### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATIENTS ADDRESS, Virginia 22313-1450 www.msplb.gov

### NOTICE OF ALLOWANCE AND FEE(S) DUE

78230 7590 03. Senniger Powers LLP (SXLB)

100 NORTH BROADWAY 17TH FLOOR ST. LOUIS, MO 63102 EXAMINER STEELE, AMBER D

ART UNIT PAPER NUMBER

1639

DATE MAILED: 03/23/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
08/847,967	04/22/1997	Isy Goldwasser	SXLB 5266	2173

TITLE OF INVENTION: THE COMBINATORIAL SYNTHESIS OF NOVEL MATERIALS

03/23/2010

APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	XXX NO	\$268 \$1,510	\$0	\$0	\$268 \$1,510	06/23/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEF 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTILS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fec(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the parer as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE IFEE and FUBLICATION FEH (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Paten, advance orders and notification of ministenance fear will be mailed to the current correspondence orderes as includent unless correspondence ordered below or in Block 1, by opencying a new correspondence address and/or (b) indicating a expense "FEE ADDRESS" for

namiciance ree normanic				ST-1 A16144		ad for demonstration of the
CURRENT CORRESPONDEN	ICE ADDRESS (Note: Use Bi	ock 1 for any change of address)		Note: A certificate of Scc(s) Transmittal. The papers. Each addition have its own certificat	mailing can only be use his certificate cannot be u al paper, such as an assig e of mailing or transmissi	ed for domestic mailings of the used for any other accompanying nament or formal drawing, mus- ion.
78230 7	7590 03/23	V2010				
Senniger Powers 100 NORTH BRO 17TH FLOOR	DADWAY			Leteby certify that the states Postal Service addressed to the Maransmitted to the USI	rtificate of Mailing or T his Fee(s) Transmittal is I with sufficient postage fo il Stop ISSUE FEE add PTO (571) 273-2885, on	ransmission being deposited with the United or first class mail in an enveloped dress above, or being facsimiled the date indicated below.
ST. LOUIS, MO	53102					(Depositor's name)
						(Signature)
			l			(Date)
APPLICATION NO.	FILING DATE	1	FIRST NAMED INVENT	OR	ATTORNEY DOCKET N	IO. CONFIRMATION NO.
08/847,967 TILE OF INVENTION: '	04/22/1997 THE COMBINATORI	AL SYNTHESIS OF NO	Isy Goldwasser VEL MATERIALS		SXLB 5266	2173
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE D	JE PREV. PAID ISSU	JE FHE TOTAL FEE(S)	DUE DATE DUE
nonprovisional	MES NO	\$2858 \$1,510	\$0	\$0	8758 \$	1,510 06/23/2010
EXAMIN	HER.	ART UNIT	CLASS-SUBCLASS			
STEELE, AM		1639	506-022000		3	
PLEASE NOTE; Unles recordation as set forth: (A) NAME OF ASSIGN	adence address (or Cha 122) attached. ation (or "Fee Address or more recent) attach D RESIDENCE DATA as an assignee is ident in 37 CFR 3.11. Cong NEE	nge of Correspondence "Indication form ted. Use of a Customer A TO BE PRINTED ON T	(I) the names of u or agents OR, alore (2) the name of a s registered attorney 2 registered patent listed, no name will HE PATENT (print or data will appear on th a substitute for filing (B) RESIDENCE: (C	type) e patent. If an assignment. ITY and STATE OR	at attorneys 1  a member a 2  as of up to no name is 3  ance is identified below, the country of	the document has been filed fo
a. The following fee(s) an lssue Fee	e submitted:	46	. Payment of Fee(s): (i		ny previously paid issue	e fee shown above)
Publication Fee (No	amadi amita diaaana	-amittad\		card. Form PTO-203	9 is attached	
Advance Order - #		Zimitto)	The Director is her overpayment, to D	cby authorized to cha cposit Account Numb	rge the required fee(s), ar er(enclo	ny deficiency, or credit any ose an extra copy of this form).
. Change in Entity Statu			b. Applicant is no	longer claiming SMA	LL ENTITY status, See :	37 CFR 1,27(g)(2).
						or the assignee or other party is
incress as shown by the re-	cords of the Officed Sta	nes i ment and i i accinara	Onte.			
Authorized Signature _				Date		
Typed or printed name				Registration		
This collection of informat in application. Confidentia ubmitting the completed his form and/or suggestion 30x 1450, Alexandria, Vir Alexandria, Virginia 2231	ion is required by 37 C ality is governed by 35 application form to the as for reducing this bu ginia 22313-1450. DO 3-1450.	FR 1.311. The informatio U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the D NOT SEND FEES OR C	n is required to obtain 1.14. This collection is depending upon the it Chief Information O COMPLETED FORM	or retain a benefit by estimated to take 12 idividual case. Any c ficer, U.S. Patent and S TO THIS ADDRES	the public which is to file minutes to complete, inc omments on the amount I Trademark Office, U.S. S. SEND TO: Commission	e (and by the USPTO to process cluding gathering, preparing, and of time you require to complete Department of Commerce, P.O. oner for Patents, P.O. Box 1450
Inder the Paperwork Redu	iction Act of 1995, no	persons are required to res	pond to a collection of	intormation unless it	orsprays a vand OMB co	number.



### UNITED STATES PATENT AND TRADEMARK OFFICE

United States Patent and Trademark C Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

DATE MAILED: 03/23/2010

APPLICATION NO.	FI	LING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
08/847,967		04/22/1997	Isy Goldwasser	SXLB 5266	2173
78230	7590	03/23/2010		EXAM	INER
Senniger Power	ers LLP (S	SXLB)		STHELE,	AMBER D
100 NORTH BI				ART UNIT	PAPER NUMBER
17TH FLOOR				1639	
ST. LOUIS, MO	0 63102			DATE MAILED, 02/02/001	n

## Determination of Patent Term Extension under 35 U.S.C. 154 (b)

(application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 0 day(s). Any patent to issue from the above-identified application will include an indication of the 0 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)
Notice of Allowability	08/847,967	GOLDWASSER ET AL.
Notice of Allowability	Examiner	Art Unit
	AMBER D. STEELE	1639
— The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to	olication. If not included will be mailed in due course. THIS
<ol> <li>This communication is responsive to <u>March 11, 2009</u>.</li> </ol>		
2. X The allowed claim(s) is/are 15-24, 26, 30-35, 42, 43, 45-48	, 51-56, 58-60, 64-72, 74-91, 93-97,	and 99.
<ol> <li>Acknowledgment is made of a claim for foreign priority un</li> <li>a) All b) Some* c) None of the:</li> <li>Certified copies of the priority documents have</li> </ol>		
2. Certified copies of the priority documents have		<u> </u>
Copies of the certified copies of the priority doc		
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	of this communication to file a reply ENT of this application.	complying with the requirements
<ol> <li>A SUBSTITUTE OATH OR DECLARATION must be submit INFORMAL PATENT APPLICATION (PTO-152) which give</li> </ol>	itted. Note the attached EXAMINER' is reason(s) why the oath or declara	S AMENDMENT or NOTICE OF tion is deficient.
5. CORRECTED DRAWINGS ( as "replacement sheets") mus	t be submitted.	
(a) I including changes required by the Notice of Draftspers	on's Patent Drawing Review ( PTO-	948) attached
<ol> <li>hereto or 2)  to Paper No./Mail Date</li> </ol>		
<ul><li>(b) including changes required by the attached Examiner's Paper No./Mail Date</li></ul>		
Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in the	84(c)) should be written on the drawir ne header according to 37 CFR 1.121(c	ngs in the front (not the back) of it).
<ol> <li>DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT I</li> </ol>	sit of BIOLOGICAL MATERIAL IN FOR THE DEPOSIT OF BIOLOGICA	nust be submitted. Note the AL MATERIAL.
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	Notice of Informal P	atent Application
2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)	6. ☐ Interview Summary	(PTO-413),
3. Information Disclosure Statements (PTO/SB/08),	Paper No./Mail Dat 7. ☐ Examiner's Amendr	
Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit	8. Examiner's Stateme	int of Reasons for Allowance
of Biological Material	9.  Other	
/Amber D. Steele/	9. LI Outet	
Primary Examiner, Art Unit 1639		
:		

Approved for use through 681/31/2010. OMB 6951/0333 U.S. Palent and Trademark Office U.S. DEPARTIMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a vailed OMB control number. Provided the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a vailed OMB control number. Provided Provided

### UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

	Page1 of1
PATENT NO. : 7,767,627 B1	
APPLICATION NO.: 08/847,967	
ISSUE DATE : August 3, 2010	
INVENTOR(S) : Goldwasser et al.	
It is certified that an error appears or errors appear in the above-identified patent and t is hereby corrected as shown below:	hat said Letters Patent
On the Front Cover of the Patent, Section 75, Inventors:	
"Xian-Dong Sun, Fremont, CA (US)"	
should read	
Xiao-Dong Sun, Fremont, CA (US)	

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Senniger Powers LLP 100 North Broadway, 17th Floor St. Louis, Missouri 63102

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application, confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to late 1.0 hour to complete, including gathering, preparing, and submitting the completed application from to the USPTO. Time will vary depending upon the individual case. Any commands on the amount of times you require to complete the form and/or suggestions for reducing this burden, should be sent to the find information Officer. Or advantage of the complete of the common o